ATTORNEY DOCKET NUMBER PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES 83616 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO. (if known, see 37 CFR 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 10/511885 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP03/04032 April 17, 2003 April 19, 2002 TITLE OF INVENTION FUNCTIONAL FOODS CONTINAING A PHOSPHOLIPID-CONTAINING STABLE MATRIX APPLICANT(S) FOR DO/EO/US Purpura et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. 😐 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), 3. □ (6), (9) and (21) indicated below. Please do not delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. ⊠ The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). □ has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. ㅁ have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 📮 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unsigned) An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 10. ⊠ (35 U.S.C. 371(c)(5)). Items 11. to 20. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 😐 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 😐 13. ⊠ A Preliminary Amendment. (5 pages) 14. 😐 An Application Data Sheet under 37 CFR 1.76. 15. 😐 A substitute specification. 16. <u>u</u> A power of attorney and/or change of address letter. 17. 😐 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. 🗆 A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. 🗆 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: 20. 😐 CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 447342202 US OCT 1 9 2004 Date of Deposit I hereby certify that this paper or fee is being deposited with sufficient postage utilizing the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. **ED PRICE** (Typed or printed name of person mailing) mu (Signature of person mailing)

U.S. APPLICATION NO VIF	PLICATION NO THE POWER SET STOFF 15 STOFF 15 STOFF POT/EP03/04032				ATTORNEY DOCKET NUMBER 83616				
17. The following fees are submitted:							CALCULATIONS PTO USE ONLY		
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):									
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO									
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 950.00									
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 790.00									
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)									
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00						Ŀ			
ENTER APPROPRIATE BASIC FEE AMOUNT =							950.00	 	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$			
CLAIMS	NUMBER FILED		NUMBER EXTRA		RATE				
Total claims	21	- 20 =	1	x	\$18.00	\$	18.00		
Independent Claims	2	- 3 =	-1	х	\$88.00		\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$300.00									
TOTAL OF ABOVE CALCULATIONS =						\$	968.00		
Applicant(s) assert entitlement to Small Entity Status (37 CFR 1.27), thus reducing the filing fee by half to:						\$	0.00	·	
SUBTOTAL =						\$	968.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$			
TOTAL NATIONAL FEE =						\$	968.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.						\$			
TOTAL FEES ENCLOSED =							968.00		
AMOUNT TO BE:							Refunded	\$	
							Charged	\$ 968.00	
 18. □ A check in the amount of \$ to cover the above fees is enclosed. 19. ☒ Please charge my Deposit Account No. 06-1135 in the amount of \$ 968.00 to cover the above fees. A duplicate copy of 									
this sheet is enclosed. (Trans. Nos. 18095 and 18096).									
20. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
SEND ALL CORRESPONDENCE TO:						nl.			
FITCH, EVEN, TABIN & FLANNERY 120 South LaSalle Street, Suite 1600 Chicago, IL 60603-3406 U.S.A.				SIGNATURE Kenneth H. Samples NAME					
Telephone: (312) 577-7000 <u>25,747</u> Facsimile: (312) 577-7007 REGISTRATION NUMBER									